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PTO/SB/21 (05-03)  
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TRANSMITTAL FORM	
(to be used for all correspondence after initial filing)	
Application No.	09/298,064
Filing Date	April 22, 1999
First Named Inventor	Guangcai Xing
Group Art Unit	1763
Examiner Name	Rudy Zervigon
Attorney Docket Number	4887P090
Total Number of Pages in This Submission	21

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Appendix A: Silicon Processing for the VLSI Era: Process Technology
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William Thomas Babbitt, Reg. No. 39,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>William T. Babbitt</i>
Date	5/8/03

CERTIFICATE OF MAILING/TRANSMISSION		
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment - Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		
May 8, 2003		
Type or printed name	Nedy Calderon	
Signature	<i>Nedy Calderon</i>	Date
		May 8, 2003

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003 Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 110.00

### Complete if Known

Application Number 09/298,064  
Filing Date April 22, 1999  
First Named Inventor Guangcai Xing  
Examiner Name Rudy Servigon  
Group/Art Unit 1763  
Attorney Docket No. 4887P090

### METHOD OF PAYMENT (check one)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 02-2666  
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: ☐ check all that apply.

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

☐ Charge fee(s) indicated below, except for the filing fee to the above-designated deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee			
1002	330	2002	165	Design filing fee			
1003	520	2003	260	Plant filing fee			
1004	750	2004	375	Rescue filing fee			
1005	160	2005	80	Provisional filing fee			
SUBTOTAL (1)							(\$)

#### 2. EXTRA CLAIM FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20			
1201	84	2201	42	Additional claims in excess of 3			
1203	290	2203	140	Multiple Dependent claim, if not paid			
1204	84	2204	42	**Rescue independent claims over original patent			
1205	18	2205	9	**Rescue claims in excess of 20 and over original patent			
SUBTOTAL (2)							(\$)

\*\*For number previously paid, if greater. For Rescues, see below

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1061	130	2061	65	Surcharge - late filing fee or oath			
1062	50	2062	25	Surcharge - late provisional filing fee or cover sheet			
2063	130	2063	65	Non English specification			
1812	2 520	1812	2 520	For filing a request for ex parte reexamination			
1804	920 *	1804	920 *	* Requesting publication of SIR prior to Examiner action			
1805	1 840 *	1805	1 840 *	* Requesting publication of SIR after Examiner action			
1251	110	2251	55	Extension for reply within first month			110.00
1252	410	2252	205	Extension for reply within second month			
1253	500	2253	250	Extension for reply within third month			
1254	1 450	2254	725	Extension for reply within fourth month			
1255	1 910	2255	955	Extension for reply within fifth month			
1404	320	2401	160	Notice of Appeal			
1402	320	2402	160	Filing a brief in support of an appeal			
1403	290	2403	140	Request for oral hearing			
1451	1 510	2451	755	Petition to institute a public use proceeding			
1452	110	2452	55	Petition to revive - unavoidable			
1453	1 300	2453	650	Petition to revive - unintentional			
1501	1 640	2501	820	Utility issue fee (or resissue)			
1502	470	2502	235	Design issue fee			
1503	630	2503	315	Plant issue fee			
1460	130	2460	65	Petitions to the Commissioner			
1807	50	1807	25	Processing fee under 37 CFR 1.17(g)			
1806	180	1806	90	Submission of Information Disclosure Sheet			
8021	40	8021	20	Recording each patent assignment per property (times number of properties)			
1809	740	1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
1810	750	1810	375	For each additional invention to be examined (37 CFR § 1.129(b))			
1801	750	1801	375	Request for Continued Examination (RCE)			
1802	900	1802	450	Request for expedited examination of a design application			

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 110.00

### SUBMITTED BY

Name (Print/Type) William Thomas Babbitt  
Signature *William T. Babbitt*

Registration No. (Attorney/Agent)

39,591

### Complete (if applicable)

Telephone (310) 207-3800

Date 5/8/03

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing the burden should be sent to the Chief Information Officer, US Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450